

99Rises Inc.

FEE SCHEDULE

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99Rises Advisory Fees¹

Fees

The Wrap Program Fee is a fixed, asset based fee at a rate of 1.00% (or 100 basis points) per year.

The Wrap Program Fee is charged monthly in arrears, based upon the market value of the average daily balance of the securities portfolio in an account over the preceding month. The Wrap Program Fee is prorated monthly: if assets are deposited into or withdrawn from an account after the beginning of a month, the fee payable with respect to such assets is adjusted (up or down) accordingly. For the initial period of an engagement that is a partial month, the fee is calculated on a pro rata basis. In the event the Advisory Agreement is terminated before the end of a month, the fee for the final billing period is prorated through the effective date of the termination and the unpaid portion of the fee is charged to the Client.

Each Client will authorize 99rises through the Client advisory agreement to deduct the fees directly from the Client's custodial account. See "Direct Fee Debit of 99rises' Fee" below.

Fee Comparison

Each Client pays a single Wrap Program Fee, a portion of which covers 99rises' advisory services and a portion of which is used to cover the securities brokerage commissions attributed to the trading of Clients' portfolios. Services provided through the 99rises Program may cost Clients more or less than purchasing investment advisory and execution (brokerage) services separately. That is because 99rises may execute fewer or more trades than investment advisers that separately charge advisory fees on the one hand, and brokerage commissions per transaction on the other hand. 99rises' Wrap Program Fee may also be higher or lower than fees charged by other wrap sponsors of comparable investment advisory programs. Since 99rises pays the brokerage transaction charges in the Client's account, there is a financial incentive for 99rises not to place transactions in the Client's account, or to execute fewer trades or trade less frequently. 99rises' decision to trade or rebalance a portfolio will largely be guided by an automated, systematic investment model. However, Clients should know that model outputs will not be implemented automatically; 99rises monitors and has discretion to override the model's output. See 6. Portfolio Manager Selection and Evaluation—Investment Strategies and Methods of Analysis.

¹ Brokerage services provided by Tradier Brokerage Inc, Member FINRA & SIPC. Processing and service fees are assessed by third parties and passed through to the customer's account. Fees are subject to change.

Fee Discretion

99rises in its sole discretion may from time to time offer lower fees through promotions, referrals and other discounts to some accounts that differ from the fees stated above. 99rises currently provides a fee discount to Clients who refer other Clients, on the terms and conditions described on 99rises' website and the "Solicitation Agreement." 99rises may also negotiate fees based on factors such as the type and size of an account, and the account related services to be provided to the client. Some of those fees may be lower than the fees generally charged to Clients.

Other Fees and Expenses

In addition to the 99rises Program Fee, Clients may incur certain other fees imposed by third party financial institutions. For example, ETFs may charge certain fees that are direct costs of a Client Account. Additional fees and charges may also include:

Brokerage, Clearing and Service Provider Charges

99rises' fees do not cover certain charges imposed by Tradier Brokerage Inc. These types of charges include, but are not limited to, wire transfer fees, paper statement fees, and bounced check fees. Clients also pay their own taxes on gains and income in connection with the account and its activities.

Fund Fees

Clients should understand that in addition to stocks, 99rises will likely invest account assets in shares of ETFs. As a shareholder in these ETFs (and in any money market funds), Clients will bear a share of the management and other expenses of the ETFs. These expenses are charged by the ETFs' managers and service providers in the normal course of business and are reflected in the share value of the ETFs. These expenses are additional to the Wrap Program Fee. You will receive a prospectus or prospectus summary when ETFs (or money market funds) are purchased for your account. You agree that it is your responsibility to read the applicable prospectuses in connection with your decision to invest.

Tradier Brokerage Inc. Fees

(Reference: https://d2ronhuflgo4cz.cloudfront.net/tbi/tbi_fee_schedule-04282020.pdf)

Automated Customer Account Transfer System – Outgoing (ACATS) \$75

Account Inactivity (if less than \$2K in total account value and less than 2 executed trades in 1 year) \$50

* fee not applicable to subscription accounts

Broker Assisted Trading (additional fee) \$10

DWAC/DRS \$150

Exercise and Assignment \$9

International Account Monthly Maintenance (less than 2 executed trades per month) \$20

* fee not applicable to subscription accounts

Margin / Position Sell Out (additional fee) \$10
Margin Rate 5.25%
Mutual Fund transaction \$30
Mutual Fund NTF Ticket \$10
New Account Opening Paper Application \$10
New Account Opening Entity \$10
New Account Opening International \$20
Overnight Mail - Domestic \$50
Paper Check - Domestic \$3
Paper Confirm Fee \$3
Paper Statement Fee \$6
Paper Tax Statement Fee \$6
Reorganization Activity (domestic) \$50
Individual Retirement Account (IRA) Annual Maintenance \$30
Individual Retirement Account (IRA) Termination Fee \$60
Return Mail \$2
Returned Checks/ACH/Wires, Recalls and Stop Payments \$35
Short Forced Buy in fee (additional fee) \$10
Warrant Transactions \$25
Wire Transfers (Non USD) \$75
Wire Transfers (USD) \$30
OCC Clearing Fees Trades with contracts of:
0 to 1,100 \$0.055 per contract
Greater than, 1,100, \$55.00/trade max
Single Listed Index Option Fee per contract:
DJX 0.18
NDX 0.14
OEX 0.40
RUT 0.18
SPX 0.65
VIX 0.40
XEO 0.40
Regulatory Fees:
SEC \$0.0000221/\$1 sold
TAF \$0.000119/share, \$5.95/trade max
ORF \$0.05/contract
*regulatory fees subject to change without notice